

Docket No. **BC-0112-P04****FAX RECEIVED**

DEC 19 2001

**GROUP 3700**

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

In re Application of: STEELE, Chad; et al. )

Serial No.: 09/940955 )

Filed: 08/27/2001 )

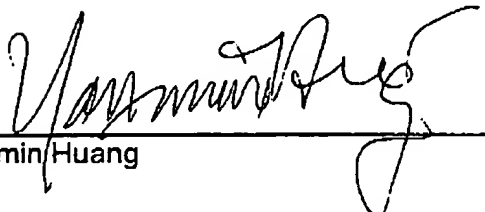
For: EXTRACORPOREAL BLOOD PROCESSING)  
METHODS AND APPARATUS )Examiner: Not yet assigned  
Group Art Unit: 3762

CERTIFICATE OF FACSIMILE

I hereby certify that the following items are being facsimile transmitted to the United States Patent Office

Fax No: 703-872-9302, on this date of 12/17/2001

1. Transmittal Form (1 page)
2. Fee Transmittal for FY2002 with Deposit Account No. (1 page)
3. Information Disclosure Statement (2 pages)
4. PTO Form 892 (1 page)
5. PTO Form 1449 (5 pages)

  
\_\_\_\_\_  
Yanmin Huang

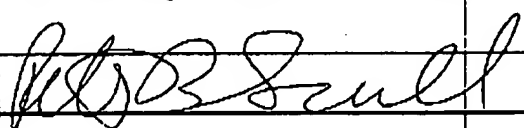
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0551-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application	09/940955	
	Filing Date	08/27/2001	
	First Named	STEELE, Chad; et al.	
	Group Art Unit	3762	
	Examiner Name	Not yet assigned	
Total Number of Pages in This Submission	11	Attorney Docket Number	HC-0112-P04

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO Form 802, PTO Form 1449, Certificate of Facsimile
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Request for Refund	
	<input type="checkbox"/> CD, Number of CD(s)	
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Peter B. Scull, Reg. No. 37,932 (Customer No. 24994)
Signature	
Date	December 17, 2001

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:	
Typed or printed name	Yanmin Huang
Signature	
Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (XX-XX)

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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$0.00

**Complete if Known**

Application Number	09/940955
Filing Date	08/27/2001
First Named Inventor	STEELE, Chad; et al.
Examiner Name	Not yet assigned
Group Art Unit	3762
Attorney Docket No.	BC-0112-P04

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	03-2316
Deposit Account Name	Gambro, Inc.

- ☒
- Charge Any Additional Fee Required under 37 CFR §§ 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR § 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					

**2. EXTRA CLAIM FEES**

Extra Claims		Fee from below	Fee Paid
Total Claims	-20** =		
Independent Claims	-3** =		
Multiple Dependent			

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$0.00

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	85	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non - English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,080	228	660	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR § 1.17(q)	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

**SUBMITTED BY**

Name (Print/Type)	Peter B. Seull
Signature	<i>Peter B. Seull</i>

Registration No.  
(Attorney/Agent)

37,932

**Complete if applicable**

Telephone

303-205-2560

Date

December 17, 2001

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GROUP 3700

DEC 18-2001 TUE 10:01 AM GAMBRO PATENT DEPT LKWD\* FAX NO. 3032314198

P. 01

**GAMBRO.**

**FAX RECEIVED**

**FAX**

To: Toni

DEC 19 2001

Company: USPTO - TC3700

**GROUP 3700**

Tel: 1-703-308-4357

Gambro, Inc.  
Patent Department  
10810 W. Collins Ave.  
Lakewood, Colorado 80215-4439  
USA  
Facsimile Number: (303) 231-4198

Fax: 1-703-872-9301

**PRIVILEGED AND CONFIDENTIAL ATTORNEY-CLIENT INFORMATION**

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<input checked="" type="checkbox"/>	Peter Scull	(303) 205-2560	<input type="checkbox"/>	Yanmin Huang	(303) 542-5224
<input type="checkbox"/>	Laura Butterfield	(303) 231-4270	<input type="checkbox"/>	Holly Hartshorn	(303) 239-2371

Subject: Resend the IDS for the bellow-identified Patent Application

Date: 12/18/2001

Page 1 of 12

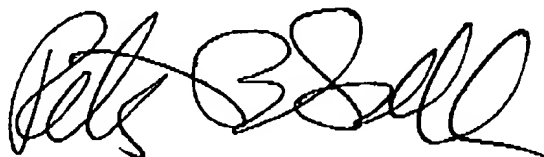
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